

Abstract Interpretation for Dummies

Program Analysis without Tears



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What *is* Abstract Interpretation?

- An interpretation:
 - a way of understanding something
- Abstract:
 - omitting some of the details

An Example: Portland

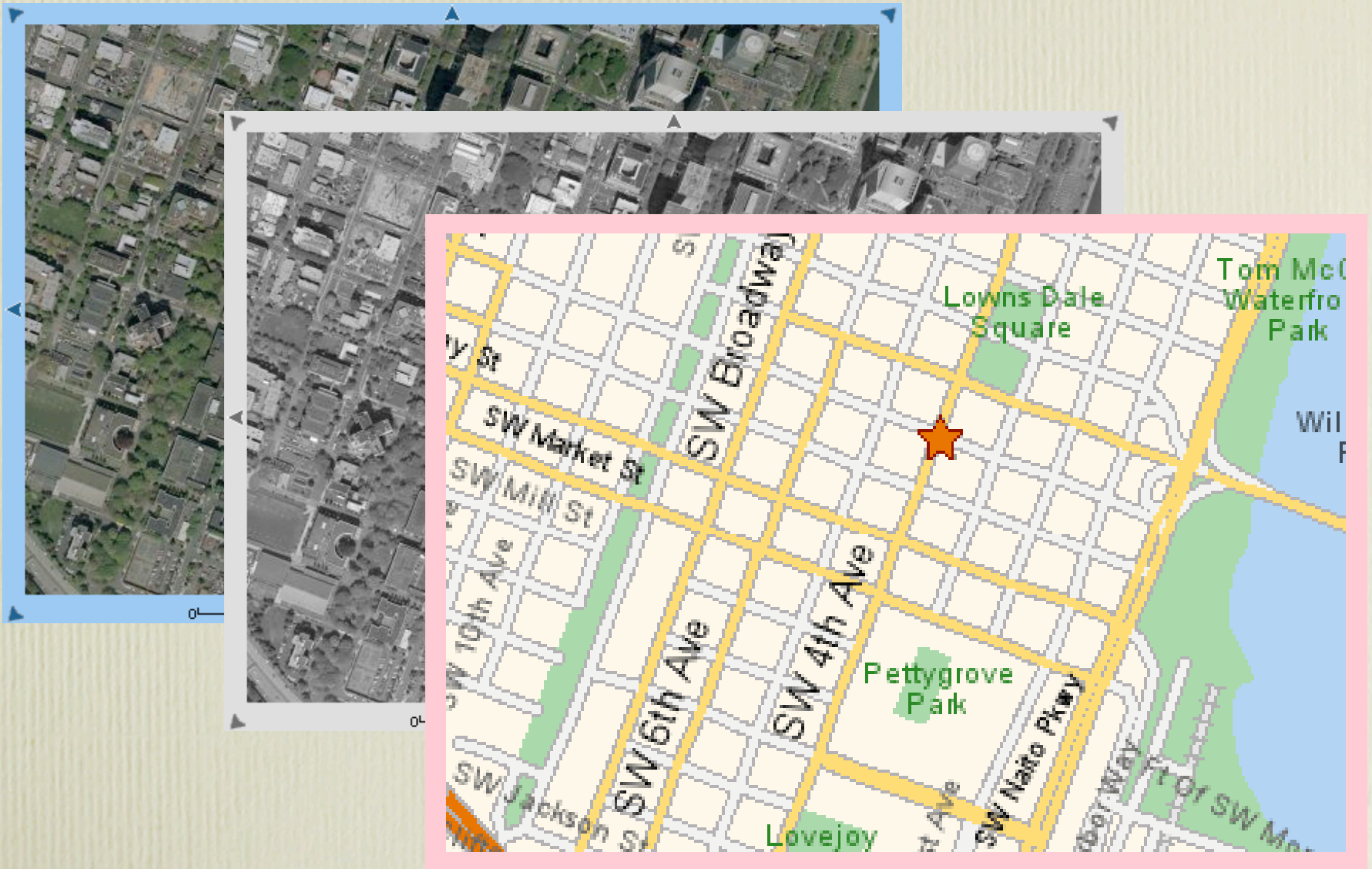
An Example: Portland



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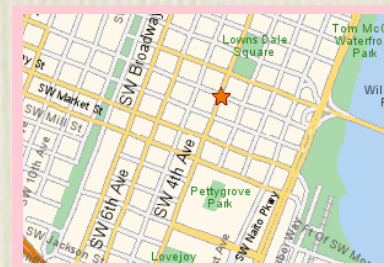


An Example: Portland



An Example: Portland

- Each interpretation makes available different information
 - all have less information than the real city!
 - in general, information content is disjoint
 - some interpretations dominate others, but
- Different interpretations have different uses
 - the map is more useful for driving than the photograph
 - it would be more useful still if it showed one-way streets!



Examples from Computing

- “Concrete” artifact is a program with the “standard” semantics
 - *e.g.*, + is an operation that performs arithmetic
- “Abstract” artifact is a program with a non-standard semantics
 - *e.g.*, + is an operation that builds an expression tree, or computes the type of the answer

Fraction >> **reduced**

reduced

| gcd numer denom |

numerator = 0 if True: [↑0].

gcd ← numerator gcd: denominator.

numer ← numerator // gcd.

denom ← denominator // gcd.

denom = 1 if True: [↑numer].

↑Fraction **numerator:** numer **denominator:** denom

Fraction >> **reduced**

1. Interpret inst vars as their types

reduced

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↑Fraction numerator: numer denominator: denom

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↑Fraction **numerator:** numer **denominator:** denom

Fraction >> **reduced**

2. Interpret constants as their types

reduced

```
| gcd numer denom |
```

```
<integer> = 0 if True: [↑0].
```

```
gcd ← <integer> gcd: <integer> .
```

```
numer ← <integer> // gcd.
```

```
denom ← <integer> // gcd.
```

```
denom = 1 if True: [↑numer].
```

```
↑Fraction numerator: numer denominator: denom
```

Fraction >> **reduced**

2. Interpret constants as their types

reduced

```
| gcd numer denom |
```

```
<integer> = <integer> ifTrue: [↑<integer>]
```

```
gcd ← <integer> gcd: <integer> .
```

```
numer ← <integer> // gcd.
```

```
denom ← <integer> // gcd.
```

```
denom = <integer> ifTrue: [↑numer]
```

```
↑Fraction numerator: numer denominator: denom
```


Fraction >> reduced

reduced

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<integer> = <integer> ifTrue: [↑<integer>]

gcd ← <integer> gcd: <integer> .

numer ← <integer> // gcd.

denom ← <integer> // gcd.

denom = <integer> ifTrue: [↑numer]

↑Fraction numerator: numer denominator: denom

Fraction >> **reduced**

3. Interpret operations ...

reduced

| gcd numer denom |

<integer> = <integer> ifTrue: [↑<integer>]

gcd ← <integer> gcd: <integer> .

numer ← <integer> // gcd.

denom ← <integer> // gcd.

denom = <integer> ifTrue: [↑numer]

↑Fraction numerator: numer denominator: denom

Fraction >> **reduced**

3. Interpret operations ...

reduced

| gcd numer denom |

<integer> = <integer> ifTrue: [↑<integer>]

<integer> ← <integer> gcd: <integer> .

numer ← <integer> // <integer>

denom ← <integer> // <integer>

denom = <integer> ifTrue: [↑numer]

↑Fraction numerator: numer denominator: denom

Fraction >> reduced

3. Interpret operations ...

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<integer> = <integer> ifTrue: [↑<integer>]

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Fraction >> reduced

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<integer> = <integer> ifTrue: [↑<integer>]

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<integer> = <integer> ifTrue: [↑<integer>]

↑Fraction numerator: <integer> denominator: <integer>

Fraction >> **reduced**

3. Interpret operations ...

reduced

| gcd numer denom |

<integer> = <integer> **ifTrue:** [**↑**<integer>]

<integer> ← <integer> **gcd:** <integer> .

<integer> ← <integer> // <integer>

<integer> ← <integer> // <integer>

<integer> = <integer> **ifTrue:** [**↑**<integer>]

↑<fraction>

Fraction >> reduced

reduced

| gcd numer denom |

<integer> = <integer> ifTrue: [↑<integer>]

<integer> ← <integer> gcd: <integer> .

<integer> ← <integer> // <integer>

<integer> ← <integer> // <integer>

<integer> = <integer> ifTrue: [↑<integer>]

↑<fraction>

Fraction >> **reduced**

4. Collect answers

reduced

| gcd numer denom |

<integer> = <integer> **ifTrue:** [**↑**<integer>]

<integer> ← <integer> **gcd:** <integer> .

<integer> ← <integer> // <integer>

<integer> ← <integer> // <integer>

<integer> = <integer> **ifTrue:** [**↑**<integer>]

↑<fraction>

Fraction >> **reduced**

4. Collect answers

reduced

| gcd numer denom |

↑ <integer>

↑ <integer>

↑ <fraction>

My application: Inferring method requirements

- When a method sends a message *m* to *self*, infer that its class should have a method on *m*
- When a method sends a message *n* to *super*, infer that its superclass should have a method on *n*
- When a method sends a message *c* to *self class*, infer that its metaclass should have a method on *c*

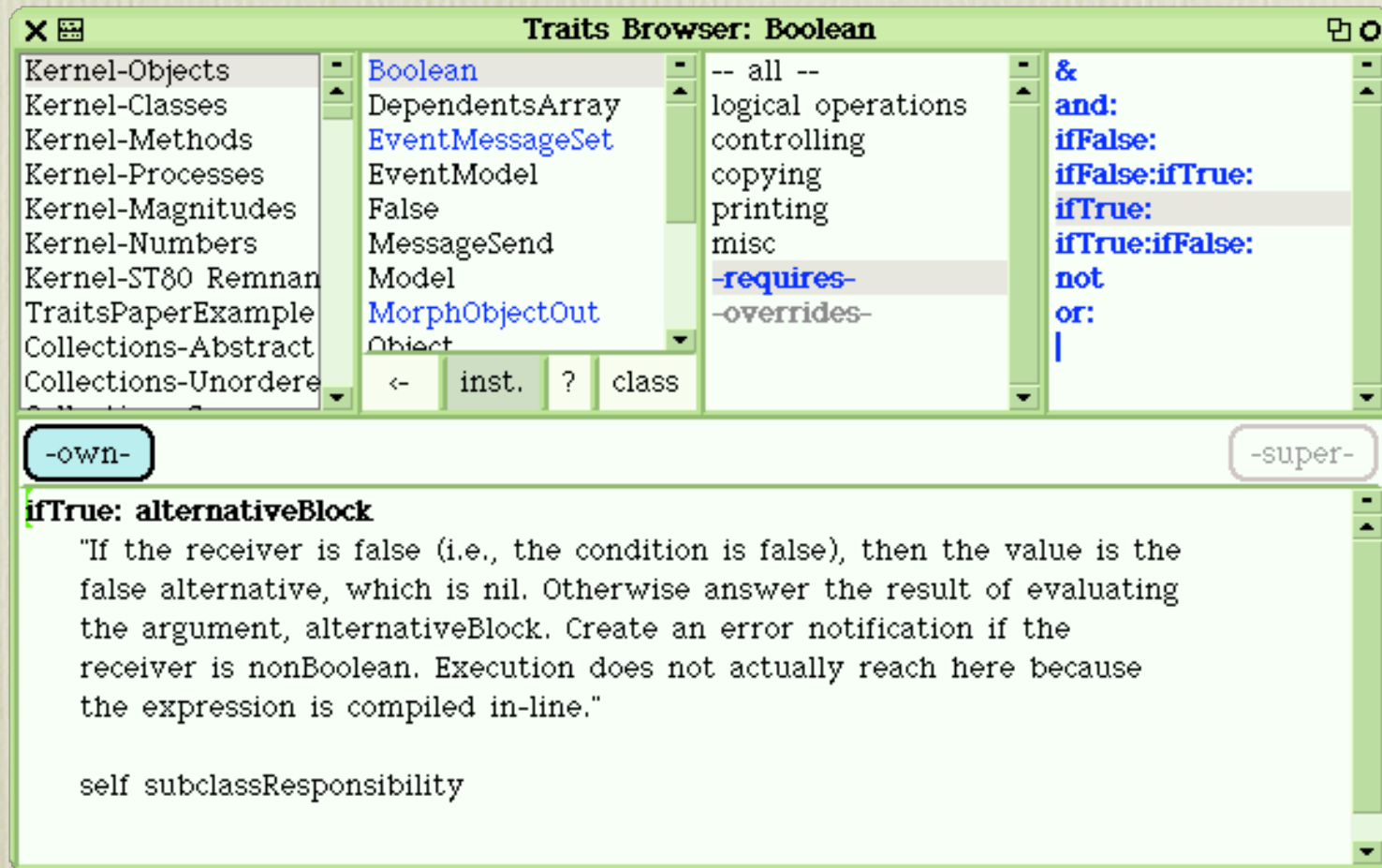
Back to ESUG 2003 ...

Back to ESUG 2003 ...

- Virtual Categories

Back to ESUG 2003 ...

- Virtual Categories



The screenshot shows a window titled "Traits Browser: Boolean" with a tree view on the left and a list of traits on the right. The tree view includes categories like Kernel-Objects, Kernel-Methods, and Kernel-Processes, with sub-items like Boolean, DependentsArray, and EventMessageSet. The right pane lists traits such as &, and:, ifFalse:, and ifTrue: (which is highlighted). Below the list are buttons for "-own-" and "-super-". The main content area shows the definition for `ifTrue: alternativeBlock`, including a detailed description and the code `self subclassResponsibility`.

| Category | Trait | Description |
|----------------|-----------------|--------------------|
| Kernel-Objects | Boolean | -- all -- |
| Kernel-Methods | DependentsArray | logical operations |
| Kernel-Methods | EventMessageSet | controlling |
| Kernel-Methods | EventModel | copying |
| Kernel-Methods | False | printing |
| Kernel-Methods | MessageSend | misc |
| Kernel-Methods | Model | -requires- |
| Kernel-Methods | MorphObjectOut | -overrides- |
| Kernel-Methods | Object | |

ifTrue: alternativeBlock
"If the receiver is false (i.e., the condition is false), then the value is the false alternative, which is nil. Otherwise answer the result of evaluating the argument, alternativeBlock. Create an error notification if the receiver is nonBoolean. Execution does not actually reach here because the expression is compiled in-line."

self subclassResponsibility

Back to ESUG 2003 ...

- Virtual Categories

categorization of methods by the browser, based on their characteristics; always up-to-date

The screenshot shows the 'Traits Browser: Boolean' window. It has a left sidebar with a tree view of categories like 'Kernel-Objects', 'Kernel-Methods', etc. The main area is divided into three columns: a list of methods, a list of characteristics, and a list of method names. The '-requires-' method is circled in red, and an arrow points from the text above to it. Below the list is a detailed view of the 'ifTrue: alternativeBlock' method, including its description and code.

```
Kernel-Objects Boolean
Kernel-Methods DependentsArray
Kernel-Processes EventMessageSet
Kernel-Magnitudes EventModel
Kernel-Numbers False
Kernel-ST80 Remnan MessageSend
TraitsPaperExample Model
Collections-Abstract MorphObjectOut
Collections-Unordere Object
<- inst. ? class
```

-- all --
logical operations
controlling
copying
printing
misc
-requires-
-overrides-

&
and:
ifFalse:
ifFalse:ifTrue:
ifTrue:
ifTrue:ifFalse:
not
or:
|

-own- -super-

ifTrue: alternativeBlock
"If the receiver is false (i.e., the condition is false), then the value is the false alternative, which is nil. Otherwise answer the result of evaluating the argument, alternativeBlock. Create an error notification if the receiver is nonBoolean. Execution does not actually reach here because the expression is compiled in-line."

self subclassResponsibility

Andrew Black

Collecting
information about
self-sends requires
that we parse the
source code of all of
the methods ...



Andrew Black

~~collecting
information about
self-sends requires
that we parse the
source code of all of
the methods ...~~



John Brant

- I think you can do this by looking at the byte code
- We did something similar once in the refactoring browser



Squeak Byte Code

- instructions for a simple stack-based VM

```
17 <00> pushRcvr: 0
18 <75> pushConstant: 0
19 <B6> send: =
20 <99> jumpFalse: 23
21 <75> pushConstant: 0
22 <7C> returnTop
23 <00> pushRcvr: 0
24 <01> pushRcvr: 1
25 <E0> send: gcd:
26 <68> popIntoTemp: 0
...
```

reduced

```
| gcd numer denom |
numerator = 0 ifTrue: [↑0].
gcd ← numerator gcd:
denominator.
```

...

CompiledMethod

- In Squeak, the class `CompiledMethod` is a subclass of `ByteArray`.
 - It contains both the instructions (bytes) and the literal table (objects)
 - While the on-disk format is standardized, the in-memory format of the literals depends on the byte order of the processor
- Use `CompiledMethod >> literalAt:` and `InstructionStream` to look at `CompiledMethods`

InstructionStream Hierarchy

InstructionStream

ContextPart

BlockContext

MethodContext

Decompiler

InstructionPrinter

<Your interpreter>

InstructionStream Hierarchy

InstructionStream

ContextPart

BlockContext

MethodContext

Decompiler

InstructionPrinter

<Your interpreter>

interpret the byte-encoded
Smalltalk instruction set.
maintain a program counter
(pc) for streaming through
CompiledMethods.

InstructionStream Hierarchy

InstructionStream

ContextPart

BlockContext

MethodContext

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<Your interpreter>

InstructionStream Hierarchy

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InstructionPrinter

<Your interpreter>

adds the semantics
for execution, and
keeps track of
sending context

InstructionStream Hierarchy

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ContextPart

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<Your interpreter>

InstructionStream Hierarchy

InstructionStream

ContextPart

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<Your interpreter>

decompile a method into
Smalltalk text by a three-
phase process: postfix byte
code → prefix symbolic code
→ node tree → text

InstructionStream Hierarchy

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<Your interpreter>

InstructionStream Hierarchy

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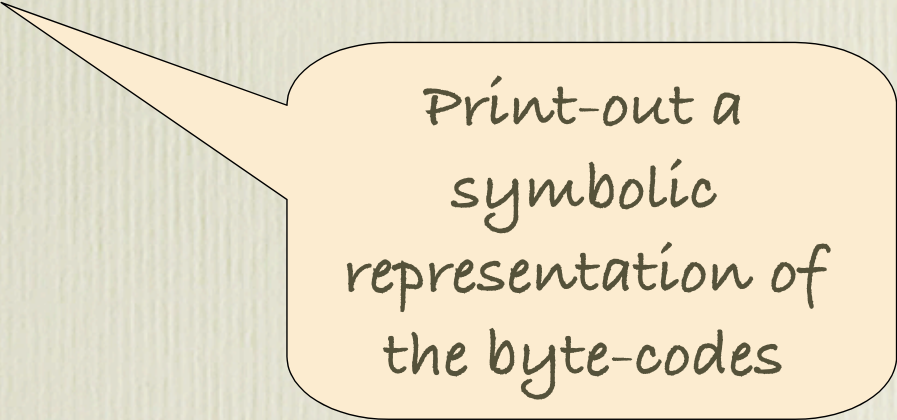
BlockContext

MethodContext

Decompiler

InstructionPrinter

<Your interpreter>



Print-out a
symbolic
representation of
the byte-codes

InstructionStream Hierarchy

InstructionStream

ContextPart

BlockContext

MethodContext

Decompiler

InstructionPrinter

<Your interpreter>

InstructionStream Hierarchy

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ContextPart

BlockContext

MethodContext

Decompiler

InstructionPrinter

SendsInfo

InstructionStream Hierarchy

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MethodContext

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InstructionPrinter

SendsInfo

My concrete subclass of
InstructionStream which
collects information
about self-, super- and
class-sends

InstructionStream subclass: #SendsInfo

- The core class of my abstract interpreter
 - or for any similar *single method* interpreter

- Example usage:

```
si ← SendsInfo on: ArrayedCollection>>#writeOn: .  
si collectSends
```

InstructionStream subclass: #SendsInfo

- The core class of my abstract interpreter
 - or for any similar *single method* interpreter
- Example usage:

```
si ← SendsInfo on: ArrayedCollection>>#writeOn: .
```

```
si collectSends
```

answers a SendsInfo that prints as

```
[#(#basicSize)
```

```
#(#writeOn:)
```

```
#(#isWords #isPointers)]
```


SendsInfo >> #collectSends

- “main loop” of the interpreter

collectSends

| end |

end ← self method endPC.

[pc <= end]

whileTrue:

[self interpretNextInstructionFor: self]

SendsInfo >> #collectSends

- “main loop”

*instruction interpreter inherited
from InstructionStream*

collectSends

| end |

end ← self method endPC.

[pc <= end]

whileTrue:

[self interpretNextInstructionFor: self]

SendsInfo >> #collectSends

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| end |

end ← self method endPC.

[pc <= end]

whileTrue:

[self interpretNextInstructionFor: self]

SendsInfo >> #collectSends

- “main loop” of the interpreter

collectSends

| end |

end ← self method endPC.

[pc <= end]

whileTrue:

[self interpretNextInstructionFor: self]

this SendsInfo is the argument
as well as the target

SendsInfo >> #collectSends

- “main loop” of the interpreter

collectSends

| end |

end ← self method endPC.

[pc <= end]

whileTrue:

[self interpretNextInstructionFor: self]

InstructionStream >>interpretNextInstructionFor:

interpretNextInstructionFor: client

"Send to client, a message that specifies the type of the next instruction."

| byte type offset method |

method ← self **method**.

byte ← method **at:** pc.

type ← byte // 16.

offset ← byte \\ 16.

pc ← pc + 1.

type=0 **ifTrue:** [↑client **pushReceiverVariable:** offset].

type=1 **ifTrue:** [↑client **pushTemporaryVariable:** offset].

type=2 **ifTrue:** [↑client **pushConstant:** (method **literalAt:** offset+1)].

type=3 **ifTrue:** [↑client **pushConstant:** (method **literalAt:** offset+17)].

type=4 **ifTrue:** [↑client **pushLiteralVariable:** (method **literalAt:** offset+1)].

type=5 **ifTrue:** [↑client **pushLiteralVariable:** (method **literalAt:** offset+17)].

...

Instruction set Methods

- To detect self- and class-sends, we have to simulate the stack
 - But we can *abstract* over the set of values pushed on stack
 - We need distinguish only between **self**, **self class**, and other **stuff**
 - actually, there are also **blocks** and **small integers** representing the number of arguments to the block

Sample Instruction Set Methods

pushTemporaryVariable: offset
self push: #stuff

pushConstant: value
self push: value

pushReceiver
self push: #self

The critical instruction set method

```
send: selector super: superFlag numArgs: numArgs
```

```
"Simulate the action of bytecodes that send a message with selector...
```

```
The arguments of the message are found in the top numArgs
```

```
locations on the stack and the receiver just below them."
```

```
| stackTop |
```

```
...
```

```
self pop: numArgs.
```

```
stackTop ← self pop.
```

```
superFlag
```

```
  ifTrue: [superSentSelectors add: selector]
```

```
  ifFalse: [stackTop == #self
```

```
    ifTrue: [self tallySelfSendsFor: selector].
```

```
    stackTop == #class
```

```
    ifTrue: [classSentSelectors add: selector]].
```

The critical instruction set method

```
send: selector super: superFlag numArgs: numArgs
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"Simulate the action of bytecodes that send a message with selector...

The arguments of the message are found in the top numArgs

locations on the stack and the receiver just below them."

```
| stackTop |
```

...

```
self pop: numArgs.
```

```
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```
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```
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The arguments of the message are found in the top numArgs

locations on the stack and the receiver just below them."

```
| stackTop |
```

...

```
self pop: numArgs.
```

```
stackTop ← self pop.
```

```
superFlag
```

```
  ifTrue: [superSentSelectors add: selector]
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| stackTop |
```

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self pop: numArgs.
```

```
stackTop ← self pop.
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```
superFlag
```

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  ifTrue: [superSentSelectors add: selector]
```

```
  ifFalse: [stackTop == #self
```

```
    ifTrue: [self tallySelfSendsFor: selector].
```

```
  stackTop == #class
```

```
    ifTrue: [classSentSelectors add: selector]].
```


What about loops?

- Simplifying assumption:
 - any send in a loop does not change from a self-send to a object-send
 - this is true because we consider `temp message` to always be an object-send, even if `temp == self`.
 - so we never need to go around a loop more than once
 - we can just ignore backward jumps

SendsInfo >>jump:

jump: distance

"Simulate the action of a 'unconditional jump' bytecode whose offset is distance."

distance < 0

ifTrue: [↑ self].

distance = 0

ifTrue: [self error: 'bad compiler!'].

...

What about forward jumps?

classPool

"Answer the dictionary of class variables."

classPool == nil

ifTrue: [**↑**Dictionary **new**]

ifFalse: [**↑**classPool]

- instructions like 16 are “merge points” in the instruction sequence:
 - execution can reach a merge point in two ways.
 - which stack should we use?

What about forward jumps?

classPool

"Answer the dictionary of class variables."

`classPool == nil`

`ifTrue: [↑Dictionary new]`

`ifFalse: [↑classPool]`

```
9 <0D> pushRcvr: 13
10 <73> pushConstant: nil
11 <C6> send: ==
12 <9A> jumpFalse: 16
13 <40> pushLit: Dictionary
14 <CC> send: new
15 <7C> returnTop
16 <0D> pushRcvr: 13
17 <7C> returnTop
```

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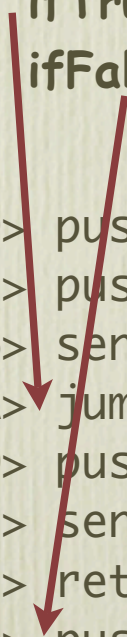
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```
9 <0D> pushRcvr: 13
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- instructions like 16 are “merge points” in the instruction sequence:
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Merging stacks

- Every conditional forward jump marks its destination address as a merge point
 - we save the state of the stack at the jump point in a dictionary keyed by the merge point

```
jump: distance if: aBooleanConstant
  "Simulate the action of a 'conditional jump' bytecode ..."
  | destination |
  distance < 0 ifTrue:[↑ self].
  distance = 0 ifTrue:[self error: 'bad compiler!'].
  destination ← self pc + distance.
  self pop.      "remove the condition from the stack."
  savedStacks at: destination put: stack copy.
```

Merging stack (2)

- We have to check for the possibility of a merge point at every instruction!
 - We do this by overriding `InstructionStream >> interpretNextInstructionFor:`

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```
SendsInfo>>interpretNextInstructionFor: client  
  self atMergePoint  
    ifTrue: [self mergeStacks].  
  super interpretNextInstructionFor: client
```

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Merging stack (2)

- We have to check for the possibility of a merge point at every instruction!
 - We do this by overriding `InstructionStream >> interpretNextInstructionFor:`
- Merging stacks is conservative:
 - If element i in either stack is `#self`, then element i in the merged stack is also `#self`.

Dealing with Blocks

- The code for blocks is compiled in-line, and copied onto the stack at execution time

```
9 <10> pushTemp: 0
10 <89> pushThisContext:
11 <76> pushConstant: 1
12 <C8> send: blockCopy:
13 <A4 05> jumpTo: 20
15 <69> popIntoTemp: 1
16 <70> self
17 <11> pushTemp: 1
18 <E0> send: remove:
19 <7D> blockReturn
20 <CB> send: do:
21 <87> pop
22 <10> pushTemp: 0
23 <7C> returnTop
```

```
removeAll: aCollection
```

```
aCollection do: [:each | self remove: each].
↑ aCollection
```


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17 <11> pushTemp: 1

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19 <7D> blockReturn

20 <CB> send: do:

21 <87> pop

22 <10> pushTemp: 0

23 <7C> returnTop

removeAll: aCollection

aCollection do: [:each | self remove: each].

Number of
arguments of
the block

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23 <7C> returnTop

Dealing with Blocks

- The code for blocks is compiled in-line, and copied onto the stack at execution time

```
9 <10> pushTemp: 0
10 <89> pushThisContext:
11 <76> pushConstant: 1
12 <C8> send: blockCopy:
13 <A4 05> jumpTo: 20
15 <69> popIntoTemp: 1
16 <70> self
17 <11> pushTemp: 1
18 <E0> send: remove:
19 <7D> blockReturn
20 <CB> send: do:
21 <87> pop
22 <10> pushTemp: 0
23 <7C> returnTop
```

1. Sending a block copy remembers the number of arguments
2. When we drop into the block, empty stack and push some dummy arguments
3. No merge of stacks is needed at 20 (or after any unconditional jump)

Performance

- Initially: 27 seconds to analyze every method (-45 000) in the image (600 μ s per method).
- Where did the time go?
 - Dictionaries: `SendsInfo >> #atMergePoint` is usually false
 - `OrderedCollection`: stack manipulations are slow
 - `InstructionStream>>interpretNextInstructionFor`: uses linear dispatch
- Fix these things ... 260 μ s per method

Conclusions

- AI was easy to implement
 - spare time at last two days of ESUG 2003 and flight home
 - Thanks to John Brant and Vassili Bykov
- AI implementation was more accurate than implementation based on parsing source
 - `Morph>>layoutInBounds`: contains the statement:
`(owner ifNil:[self]) cellPositioning`
which AI detects as self-send
- AI is fast
 - Bytecodes are designed for fast interpretation