## Decideability

## Sipser pages 193-214

## Decideability

- A class of problems is decidable if every problem can be answered Yes or No.
- We often look at classes that ask questions about languages and automata.
- We generally use the notation <P> to describe an encoding of a problem P in some way as input to a Turing machine.
- Turing machines are a good mechanism to talk about decideability.
- Why?
- What characteristics to Turing machines have?


## Problems about DFA's

- $A_{\text {DFA }}$ Does a DFA (B) accept some string (w)?
- $E_{\text {DFA }}$ Is the language accepted by some DFA (B) the empty language (the empty set of strings).
- $\mathrm{EQ}_{\mathrm{DFA}}$ Do two DFAs (A and B) accept the same language.


## $A_{\text {DFA }}$

- Does a DFA (B) accept some string (w)?
- $A_{\text {DFA }}=\{\langle B, w\rangle \mid B$ is a DFA that accepts input string $w\}$
- Note that $A_{\text {DFA }}$ is a language problem itself.
- Consider <B,w> to be the input language
- And the solution a Turing Machine that halts on all such input in either the accept or reject state


## Representations

- Recall <B,w> is meant to represent a DFA and some input string.
- How might we represent this as input to a TM?
- $\mathbf{B}=\left(\mathbf{Q}, \Sigma, \delta, \mathrm{q}_{0}, F\right)$



## Checking A DFA

| $\ldots$ | $\mathbf{Q}$ | $\ldots$ | $\#$ | $\ldots$ | $\Sigma$ | $\ldots$ | $\#$ | $\ldots$ | $\delta$ | $\ldots$. | $\#$ | $\ldots$ | $q_{0}$ | $\ldots$ | $\#$ | $\ldots$ | $F$ | $\ldots$ | $\#$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

- Let M be a TM that does the following
- Does the input represent a legal DFA
- If not then reject
- Simulate B on input
- When finishing processing $w$, if the simulation is in an accepting state, then the TM accepts, else the TM rejects.


## $\mathrm{A}_{\text {NFA }}$

- How might we show that an NFA (C) accepts a string w?
- We might use a similar approach, encoding the NFA and its input on a TM tape <C,w> and then simulating the NFA.
- There is another approach!
- Since every NFA has an equivalent DFA (the subset construction) lets use the TM (M) of the last section.


## N an TM that decides $\mathrm{A}_{\text {NFA }}$

- $N$ is a TM on input <C,w> where $C$ is an NFA and w is a string

1. Convert C into a DFA (D) using subset construction
2. Run $M$ on <D,w>
3. If M accepts, then N accects, if M rejects, then N rejects

This is an example of an important strategy called reduction

## How might we decide $A_{\text {RegExp }}$

## $\mathrm{E}_{\text {DFA }}$ is decidable

- $T=O n$ input $<A>$ where $A$ is a DFA
- Mark the start state of $A$
- Repeat until no new state is marked
- Mark any state that has a transition coming into it from any state that is already marked
- If no final state of $A$ is marked, accept; other wise reject


## $\mathrm{EQ}_{\text {DFA }}$ is decidable

- To test if two DFAs decide the same language we will rely on several facts
- DFA's are closed under intersection, union, and complement
- EDFA is decidable (TM T from previous section)


## Symmetric Difference

- $L(C)=(L(A) \cap \underline{L}(B)) U(\underline{L(A)} \cap L(B))$


If $A$ and $B$ are equal, then the symmetric difference is empty


## $E Q_{\text {DFA }}$ is decidable

- $F=$ On input $\langle A, B\rangle$ where $A$ and $B$ are DFAs

1. Construct DFA $C$, the symmetric difference of $A$ and B
2. Run TM T (the one that decides $\mathrm{E}_{\mathrm{DFA}}$ ) on <C>
3. If $T$ accepts, then $F$ accepts, if $T$ rejects, then $F$ rejects

## Problems about CFG's

- The following class of problems are discussed in the text. Be sure and read about them.
- $\mathrm{A}_{\mathrm{CFG}}$ Does a CFG (B) accept some string (w)?
$-E_{C F G}$ Is the language accepted by some CFG (B) the empty language (the empty set of strings).
- This one is quite interesting, and not what one might expect. Pay close attention!
$-E_{\text {CFG }}$ Do two CFGs (A and $B$ ) accept the same language.


## The size of infinite sets

- How can we tell if two sets have the same size?
- Easy for finite sets.
- Not so straightforward for infinite sets

Two infinite sets have the same size if every element of one can be paired with the elements of the other

## Properties of functions

In one-to-one functions this never happens

- One-to-one
- A function, $f$, is one-to-one if it never maps two different elements of the domain to the same element of the range. $x \neq y \Rightarrow f(x) \neq f(y)$

- Onto
- A function $f$ is onto, if every element of the range is mapped to by some element of the domain
- Correspondence
- A function is a correspondence if it
 is both one-to-one and onto


## Naturals and the even-Naturals have the same size


$f(n)=n * 2$
F is one-to-one, two numbers never map to the same element

F is onto, every even number is mapped to

## Countable sets

- Definition
- A set is countable, if it is finite, or if it is infinite, it is in correspondence to the Natural numbers


## Rational numbers are countable

- Rational numbers, numbers exactly expressed as $x / y$, are countable.


How can we establish a correspondance?

Can't travel along one row.

Or along one column
But along the diagonals

## The real numbers are not countable

- We show no correspondence between $R$ and $N$ can exist.
- We use a classic argument (due to Cantor) called a diagonalization argument.
- First recall that every Real number can be expressed as an infinite decimal expansion. Example
- 3.1415962...
- 2.0000000...
- 0.1250000...
- 5.5555555...


## Proof by contradiction.

- Assume that the Naturals and the Reals are in correspondence, then there exists a one-toone, onto function, f: Nat -> Real

| $n$ | $f(n)$ |
| :--- | :--- |
| 1 | $3.14159 \ldots$ |
| 2 | $55.5555 \ldots$ |
| 3 | $0.12500 \ldots$ |
| 4 | $0.50000 \ldots$ |

A part of the coorespondence, $f$, between the naturals and the Reals

We show that f can't be onto, thus it can't be a correspondence, and hence the Reals can't be countable

## Consider the real between 0 and 1

- All its digits are after the decimal point
- The nth digit after the decimal point is chosen different from the nth digit of the nth number, for example .2669...
- $2 \neq 1$
- $6 \neq 5$
- $6 \neq 5$
- $9 \neq 0$
- Note that no natural maps to this number. Suppose one did, let it be Z, but the Zth digit of $f(Z)$ differs from our number in the Zth digit by construction.
- This is a contradiction, so our assumption that the Reals are countable must be false.


## The set of all Turing Machines is countable

- Recall if $\Sigma$ is finite, then $\Sigma^{*}$ is countable
- We can write them all down
- First all of length 0
- Then all of length 1
- Then all of length 2
- Then all of length 3
- Each Turing Machine (M) has an encoding as $<\mathrm{M}>$ which is a string in $\Sigma^{*}$


## The set of all infinite binary strings is not countable.

| $n$ | $f(n)$ | - Diagonialization argument |
| :--- | :--- | :--- |
| 1 | $1011001 \ldots$ | - Consider 0101... |
| 2 | $0010100 \ldots$ | -Differs from the nth digit in <br> 3 |
| $1010111 \ldots$ | the nth string |  |
| 4 | $0110110 \ldots$ |  |

## Characteristic functions of languages

- Consider the following function: $F$
- Given a finite alphabet $\Sigma$
- Given a language Lover $\Sigma$
$-L \subseteq \Sigma^{*}$
$-\Sigma^{*}$ is countable (thus so is L )
- $F(i)=1$ if the ith string of $\Sigma^{*}$ is in $L$, and 0 otherwise.
- We call $F$ the characteristic function of $L$


## The set of languages is not countable

- Given a finite alphabet $\Sigma$
- Consider the set of all languages, $\mathscr{L}$, over $\Sigma^{*}$
- Each language $L$ in $\swarrow$ has a characteristic function, $F$, which is an infinite sequence of 0's and 1's (I.e. an infinite binary sequence)
- Eg consider $L=\{x \mid$ length of $x$ is even $\}$
$-F(\varepsilon)=1 ; F(0)=0 ; F(1)=0 ; F(11)=1 ; F(00)=1 ; F(01)=1 ; F(10)=1 ; \ldots$
- Thus, there is a correspondance between languages and infinite binary sequences.
- We know that the set of infinite binary sequences is not countable, so the set of languages over a finite alphabet $\Sigma^{*}$, can't be countable either!


# There are languages not accepted by a Turing Machine. sipser pg 178 

- There are countable number of TMs
- A Turing Machine describes a language.
- There are uncountable number of languages.
- Thus some languages must not be describable by a TM.


## The Halting problem

- Until now every problem we have looked at closely has been decidable.
- One might ask: "is any problem undecidable?"
- There is at least 1 undecidable problem $\mathrm{A}_{T M}$
- Acceptance by Turing Machine
- Does an arbitrary TM accept an arbitrary input is undecidable
- This is an important result, both philosphically and computationally!


## $\mathrm{A}_{\text {TM }}$ is Turing Recognizable!

- While not decidable, $\mathrm{A}_{\text {TM }}$ is Turing Recognizable.
- This depends upon the fact that there is a universal TM
- The universal Turing Machine takes <tm, input> and simulates "tm" on "input".
- Note if "tm" does not halt on "input" neither does the universal TM halt on <tm, input>


## $\mathrm{R}_{\mathrm{TM}}$, Recognizing a TM

- $\mathrm{U}=$ On input $<\mathrm{M}, \mathrm{w}>$, whem M is a TM and w is a string
- Simulate M on input w
- If $M$ ever enters its accept state, accept; if $M$ ever enters its reject state, reject
- Note, if we had a way of determining that M would not halt on w, we could reject, but we don't.


## $A_{T M}$ is undecidable

Sipser pg 179

- Proof by contradiction
- Assume that $\mathrm{A}_{T M}$ is decidable. By a TM called H
$-H(<M, w\rangle)=$ accept if $M$ accepts $w$, and reject if $M$ does not accept w (I.e. M either rejects or loops)
- Then if $M$ decides, we can make another machine D
- $D(<M>)=$ accept if $H(<M>,<M>)$ rejects, and rejects if $H(<M>,<M>)$ accepts

How a Turing machine M and $\mathrm{H}(<\mathrm{M}>, \mathrm{w})$ are related.

| $M(w)$ | accept | reject | loop |
| :--- | :--- | :--- | :--- |
| $H(<M, w>)$ | accept | reject | reject |

How a Turing machine M and $\mathrm{H}(\langle\mathrm{M}\rangle,\langle\mathrm{M}\rangle)$ and $\mathrm{D}(\langle\mathrm{M}\rangle)$ are related.

| $M(<M>)$ | accept | reject | loop |
| :--- | :--- | :--- | :--- |
| $H(<M>,<M>)$ | accept | reject | reject |
| $D(<M>)$ | reject | accept | accept |

The curious case when D is applied to itself.

| $D(<D>)$ | accept | reject | loop |
| :--- | :--- | :--- | :--- |
| $H(<D>,<D>)$ | accept | reject | reject |
| $D(<D>)$ | reject | accept | accept |

## Conclusion: $\mathrm{A}_{T M}$ is undecidable

| $D(<D>)$ | accept | reject | loop |
| :--- | :--- | :--- | :--- |
| $H(\langle D\rangle,<D>)$ | accept | reject | reject |
| $D(<D>)$ | reject | accept | accept |

- Since $D(<D>)$ rejects if $D(<D>)$ accepts we have reached a contradiction.
- So our original assumption that $A_{T M}$ is decidable must be incorrect.
- Thus, $A_{T M}$ is must be undecidable


## Visualizing Diagonalization of $\mathrm{A}_{\mathrm{TM}}$

## A table of the results of applying $H\left(<\mathrm{M}_{\mathrm{i}}><\mathrm{M}_{\mathrm{j}}>\right)$

| H(1,j) | <M1> | <M2> | <M3> | <M4> | <M5> |
| :--- | :--- | :--- | :--- | :--- | :--- |
| M1 | Accept | Reject | Reject | Accept | Reject |
| M2 | Reject | Accept | Accept | Reject | Accept |
| M3 | Reject | Reject | Reject | Reject | Reject |
| M4 | Accept | Accept | Reject | Accept | Accept |
| M5 | Reject | Accept | Reject | Accept | Reject |

## $D$ is a TM so where is it in the Table?

| $H(<M>,\langle M>)$ | accept | reject | reject |
| :--- | :--- | :--- | :--- |
| $D(<M>)$ | reject | accept | accept |


| H(1,j) | <M1> | .. | <D> | $\ldots$ | <. $5>$ |
| :--- | :--- | :--- | :--- | :--- | :--- |
| M1 | Accept | Reject | Reject | Accept | Reject |
| $\ldots$ | Reject | Accept | Accept | Reject | Accept |
| D | Reject | Reject | ? | Reject | Reject |
| ‥ | Accept | Accept | Reject | Accept | Accept |
| M5 | Reject | Accept | Reject | Accept | Reject |

## Definition

- A language is Turing co-recognizable if its complement is Turing recognizable.
- Recall the complement of a language is the language with all the strings not recognized by the original language.

| M(w) | accept | reject | loop |
| :--- | :--- | :--- | :--- |
| CompM(w) | reject | accept | accept |

## Lemma

- A language, L , is decidable if and only if it is both Turing recognizable and Co-Turing recognizable.
- Two things to prove

1. If $L$ is decidable then it is both Turing and CoTuring recognizable. This way is easy
2. If L is Turing and Co-Turing recognizable, it is decidable

If M is Turing and Co-Turing recognizable, it is decidable

| Turing <br> recognizer | accept | reject | loop |
| :--- | :--- | :--- | :--- |
| $\mathbf{M}_{\mathbf{1}}(\mathbf{w})$ | accept | reject | loop |


| Turing Co- <br> recognizer | accept | reject | loop |
| :--- | :--- | :--- | :--- |
| $\mathbf{M}_{\mathbf{2}}(\mathbf{w})$ | accept | reject | loop |

- $P(w)=$ run $M_{1}(w)$ and $M_{2}(w)$ in parallel
- If $\mathrm{M}_{1}$ accepts, then $P$ accepts.
- If $M_{2}$ accepts then $P$ rejects.


## $P$ is a decider

- $P(w)=$ run $M_{1}(w)$ and $M_{2}(w)$ in parallel
- If $\mathrm{M}_{1}$ accepts, then P accepts.
- If $M_{2}$ accepts then $P$ rejects.
- Every string, w , is either in $\mathrm{L}\left(\mathrm{M}_{1}\right.$ halts and accepts) or it is not ( $M_{2}$ halts and rejects)
- So one of $M_{1}(w)$ or $M_{2}(w)$ must halt.
- P halts when either $M_{1}$ or $M_{2}$ halts, so $P$ must Halt.
- So $P$ is a decider that accepts all strings in $L$ and rejects all strings not in $L$


## Some languages aren't even recognizable!

Sipser pg 81

- Consider the language which is the complement of $\mathrm{A}_{T M}$ which we write $\underline{\mathrm{A}}_{\underline{T M}}$
- We prove that ${\underline{A_{I M}}}$ is not Turing recognizable using a proof by contradiction


## Proof

- Assume that $\underline{A}_{\underline{T M}}$ is Turing recognizable
- We know $\mathrm{A}_{\text {тM }}$ is Turing recognizable
- Sipser pg 174, theorem 4.11, Slide 29 in these notes
- Thus by our lemma $A_{\text {тM }}$ is decidable
- We know that ATM is not decidable, which leads to a contradiction
- So our original assumption that $\underline{A}_{T M}$ is Turing recognizable must be flawed.


## Review: Positive results

- Countable and uncountable Sets.
- Acceptance of Regular and Context Free languages is decidable.
- Equality of Regular and Context Free languages is decidable.
- Emptiness of Regular and Context Free languages is decidable.


## Review: Negative results

- There are uncountable Sets
- The reals, infinite binary sequences, languages over a finite alphabet.
- There are languages not described by any Turing Machine.
- There is an un-decidable language
- $A_{T M}$ is undecidable
- But, $A_{T M}$ is Turing recognizable
- There is a language that is not even Turing recognizable! ( $\underline{A}_{\underline{T M}}$ the complement of $A_{T M}$ )


