

CS311 Computational Structures

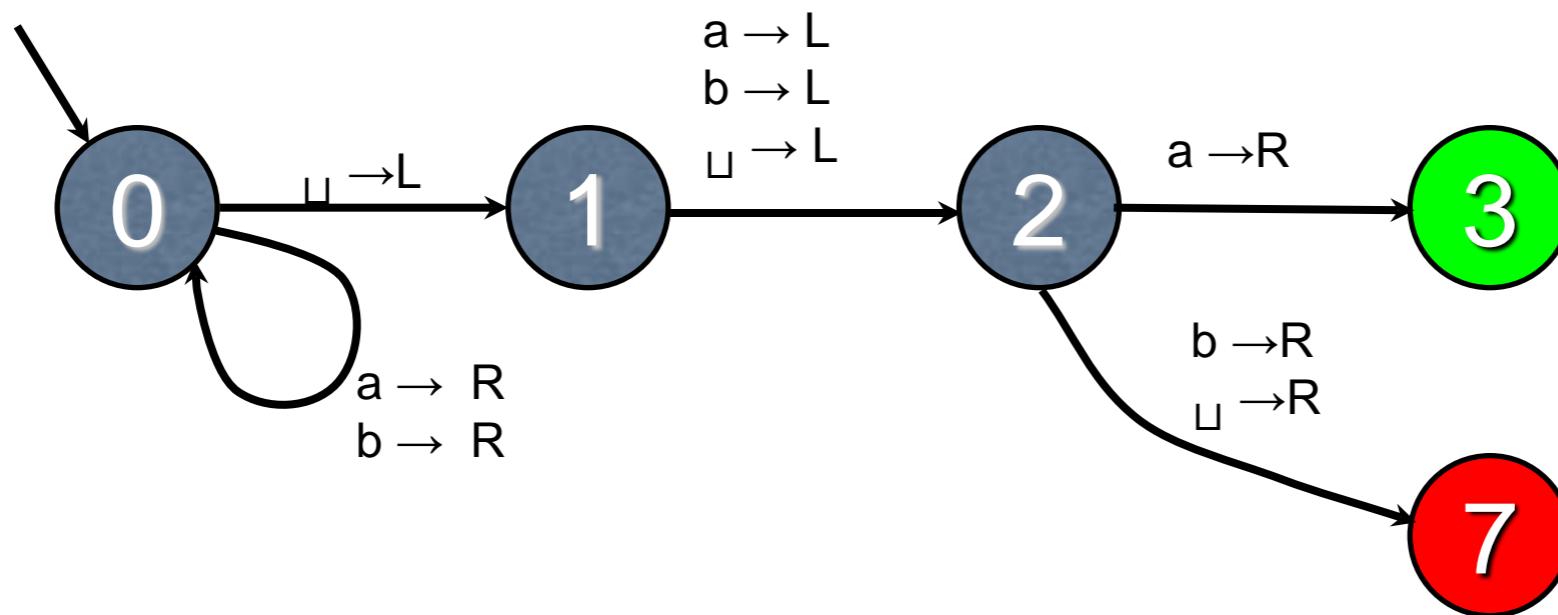
# Computational Complexity

# So, it's computable!

- But at what cost?
  - ▶ Some things that are computable in principle are in practice *intractable* because of the high “cost”
  - ▶ “Cost” can be measured in time, or in space, or in other resources ...
- Simple time measure of decision algorithm is **number of steps taken by a (one-tape, deterministic) Turing Machine**

# Counting TM Steps

- $L_{ax} = \{w \in \{a,b\}^* \mid \text{next to last symbol of } w \text{ is } a\}$

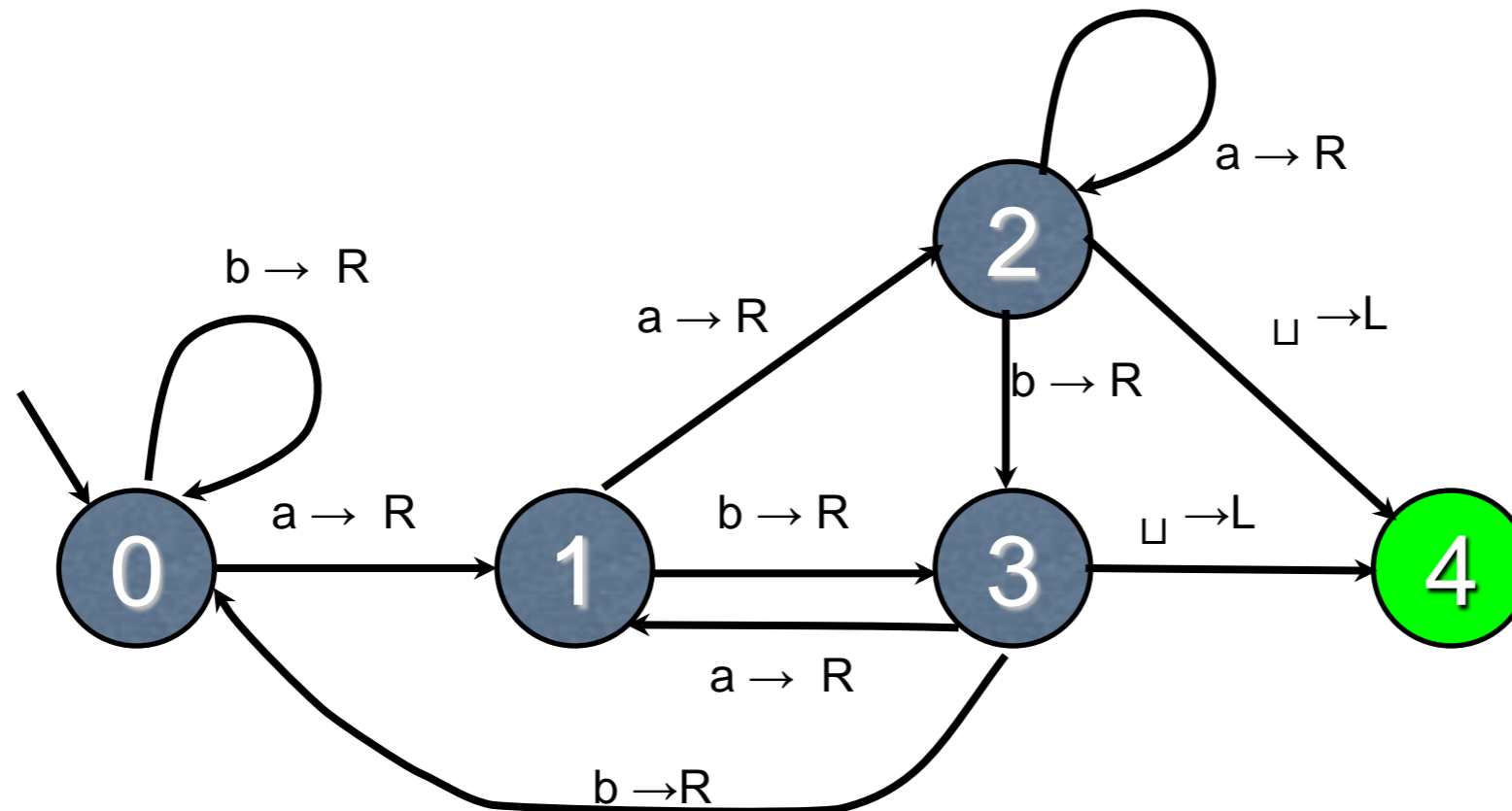


- Machine always takes exactly  $n+3$  steps on input of length  $n$

# Time complexity class

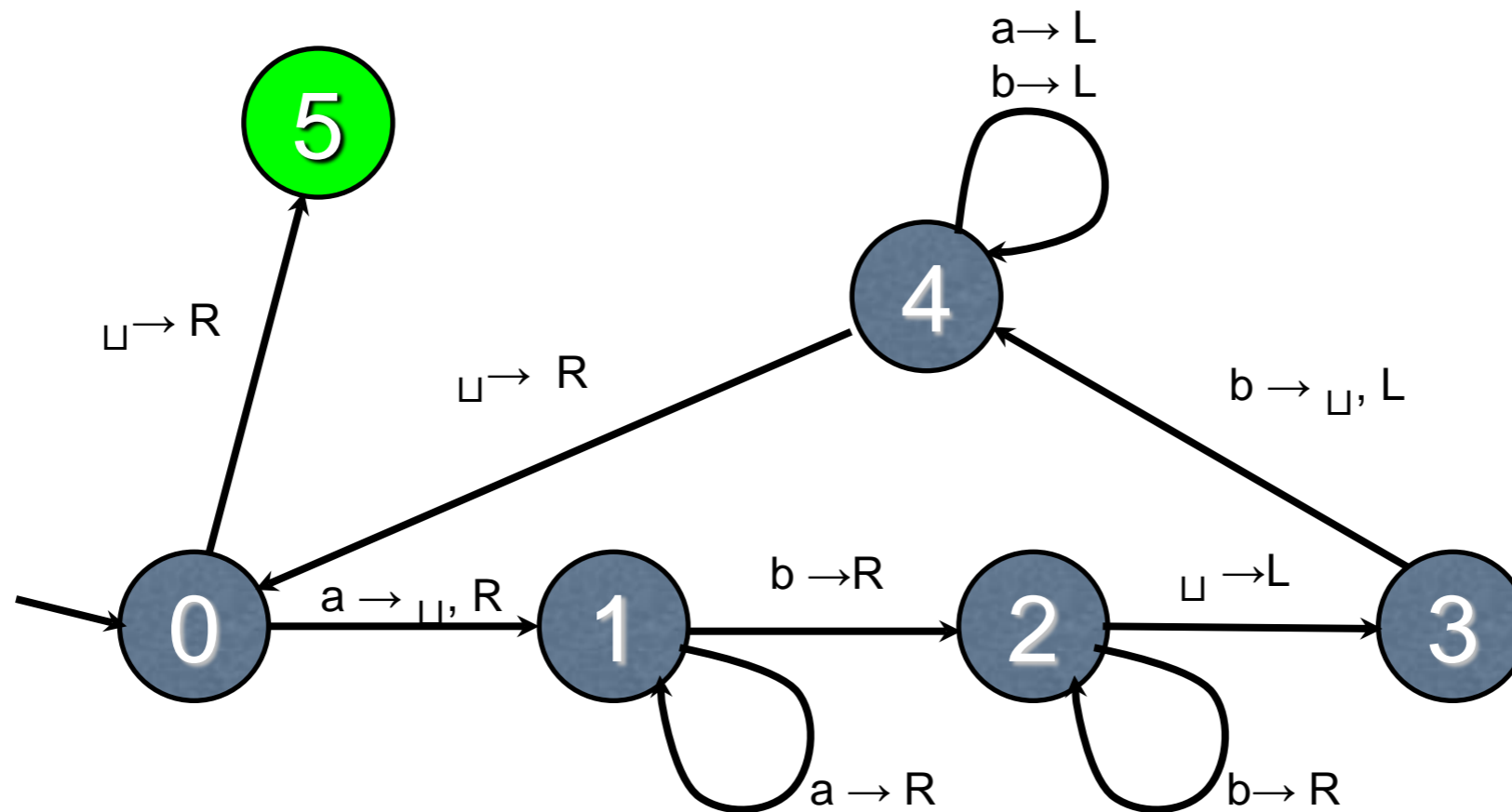
- $\text{STEPS}(t(n))$  is the class of all languages that are decidable by a (one tape deterministic) Turing machine in at most  $t(n)$  steps.
  - ▶ Given input of size  $n$ , machine must halt within  $t(n)$  steps with definite answer *accept* or *reject*.
- So  $L_{ax} \in \text{STEPS}(n+3)$

# A smarter machine for $L_{ax}$



- Machine takes at most  $n+1$  steps on input of length  $n$
- So  $L_{ax} \in STEPS(n+1)$

# A machine for $\{a^k b^k \mid k \geq 0\}$



- Main loop matches a from start and b from end.
- e.g.  $0aabb \rightarrow 1abb \rightarrow a1bb \rightarrow ab2b \rightarrow abb2 \rightarrow ab3b \rightarrow a4b \rightarrow 4ab \rightarrow 4\sqcup ab \rightarrow 0ab$

# Calculating machine time

- Main loop on  $a^k b^k$  takes  $4k+1$  steps and reduces  $k$  by 1
  - ▶ e.g. for  $k = 2$ :  $0aabb \rightarrow 1abb \rightarrow a1bb \rightarrow ab2b \rightarrow abb2 \rightarrow ab3b \rightarrow a4b \rightarrow 4ab \rightarrow 4\sqcup ab \rightarrow 0ab$
- So overall time for “yes” decision on  $a^k b^k$  is
  - ▶  $(4k+1) + (4(k-1)+1) + \dots + (4+1) + 1$
  - ▶  $= 4(k+(k-1)+\dots+1) + k + 1 = 4k(k+1)/2 + k+1$
  - ▶  $= (2k+1)(k+1) = 2k^2 + 3k + 1$
- By inspection, reaching “no” can’t take longer than “yes”, so machine decides in at most  $(1/2)n^2 + (3/2)n + 1$  steps

# Problems vs. Algorithms

- So  $\{a^k b^k \mid k \geq 0\} \in \text{STEPS}((1/2)n^2 + (3/2)n + 1)$ .
- Does this mean that deciding  $\{a^k b^k \mid k \geq 0\}$  always takes this much time?
- No! There are faster algorithms (machines) for deciding this language.
  - ▶ *e.g.*, can be done in time proportional to  $n \log n$



# Let's approximate

- We'd like to discuss time for algorithms even if they are only described informally
  - ▶ And even when we do have a precise TM, counting steps exactly is tedious!
- Also, we often care only about the asymptotic ("big O") behavior of an algorithm or problem.
- From now on, we'll be loose about describing and counting steps
  - ▶ e.g.  $\{a^k b^k \mid k \geq 0\} \in \text{TIME}(n^2)$

# Review from Hein textbook for CS250

## The Meaning of Big Oh

(5.42)

The notation  $f(n) = O(g(n))$  means that there are positive numbers  $c$  and  $m$  such that

$$|f(n)| \leq c|g(n)| \text{ for all } n \geq m.$$

## The Meaning of Big Omega

(5.44)

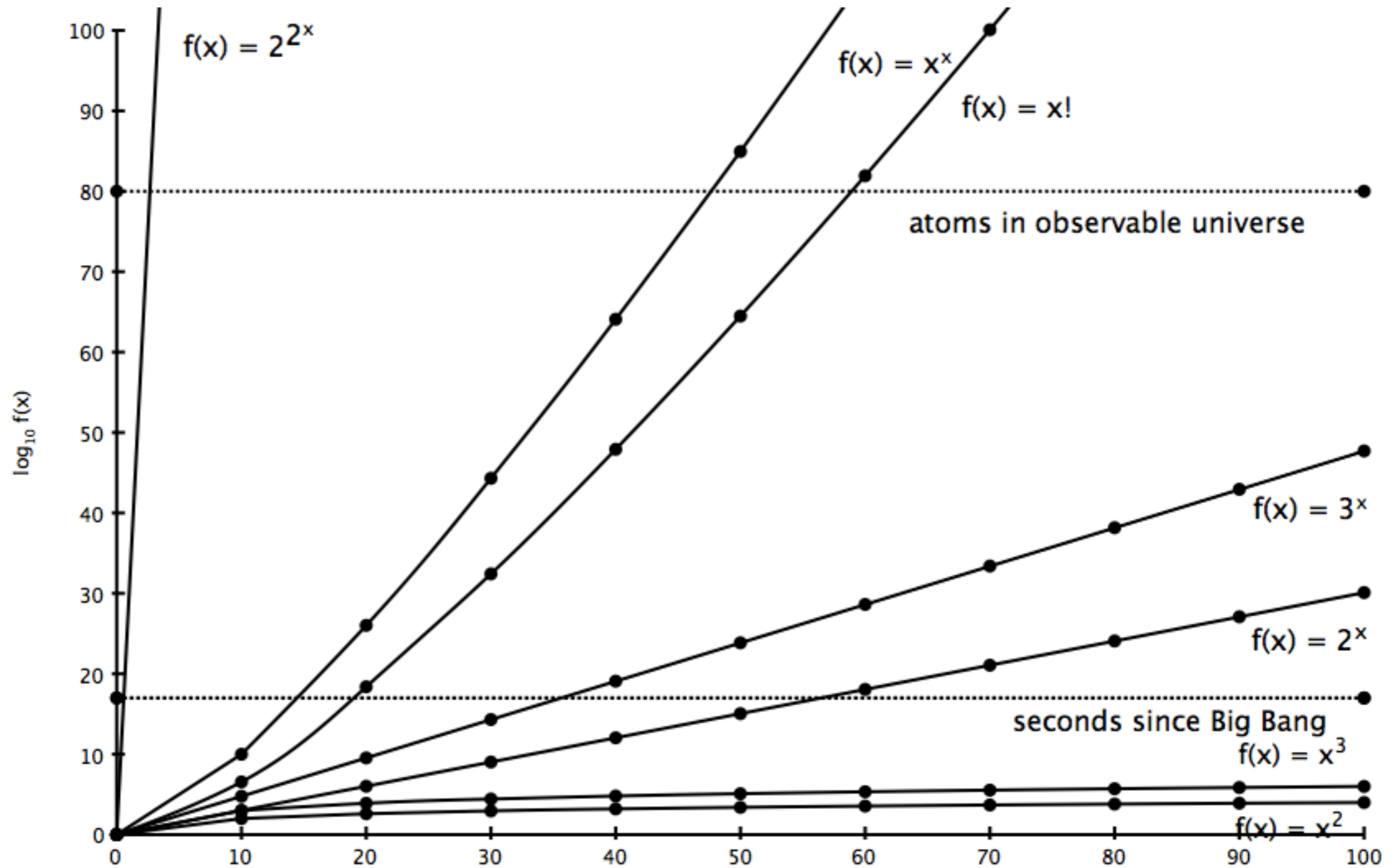
The notation  $f(n) = \Omega(g(n))$  means that there are positive numbers  $c$  and  $m$  such that

$$|f(n)| \geq c|g(n)| \text{ for all } n \geq m.$$

# In other words...

- $O(g)$  is the set of functions whose asymptotic behavior is **bounded above** by that of  $g$
- $\Omega(g)$  is the set of functions whose asymptotic behavior is **bounded below** by that of  $g$
- Also, we define  $\Theta(g)$  to be the set of functions with the **same** asymptotic behavior as  $g$  — *i.e.*, both  $O(g)$  and  $\Omega(g)$

# Comparative growth rates of some functions



# Avoiding Exponential Time

- Algorithms requiring exponential time are too slow to be practical except for very small input sizes.
- Indeed, problems requiring more than polynomial time are often called **intractable**.
  - ▶ Note: this is just a convenient term. A “tractable” problem that requires  $O(n^{100})$  time is likely not practically solvable.

# Another problem: PATH

- $\text{PATH} = \{ \langle G, s, t \rangle \mid G \text{ is a directed graph that has a directed path from } s \text{ to } t \}$ 
  - ▶ We assume  $\langle G \rangle$  is encoded as an adjacency matrix of size  $O(n^2)$ . (Any other **reasonable** encoding will also work.)
- There's an obvious **brute force** algorithm to decide this language: try **each** possible sequence of nodes in  $G$  of length up to  $n$ , and see if it forms a path in  $G$ 
  - ▶ We consider each possible path only once
  - ▶ But there are still  $n!$  possible paths, so time of this algorithm is  $\Omega(2^n)$ .

# A faster PATH finder

- $\text{PATH} = \{ \langle G, s, t \rangle \mid G \text{ is a directed graph that has a directed path from } s \text{ to } t \}$
- A faster algorithm operates like this:
  - ▶ 1. Place a mark on node  $s$
  - ▶ 2. Repeat until no additional nodes are marked
    - 2.1. Scan all edges of  $G$ . If an edge  $(a, b)$  is found from a marked node  $a$  to an unmarked node  $b$ , mark  $b$ .
  - ▶ 3. If  $t$  is marked *accept*, otherwise *reject*.
  - ▶ Time: step 2 repeats  $O(n)$  times; each step takes  $O(n^2)$  time, so overall time is  $O(n^3)$ . PATH is tractable after all.

# Finding a Hamiltonian path

- $\text{HAMPATH} = \{\langle G, s, t \rangle \mid G \text{ is a directed graph with a path from } s \text{ to } t \text{ passing through each node exactly once}\}$
- The brute force algorithm for PATH works here too, so HAMPATH is in  $\text{TIME}(n!)$  (where  $n$  is number of nodes in graph).
- Nobody knows for sure whether there is a polynomial-time algorithm for HAMPATH.



# What if we vary the model?

- When we're talking about timing, our precise choice of TM model matters.
  - ▶ Unlike for decidability results.
- Example: Given a two-tape TM, we can recognize  $\{a^n b^n \mid n \geq 0\}$  in  $O(n)$  time. How?
- Example: On a nondeterministic TM, the brute force algorithm for PATH runs in polynomial time. Why?

# Time cost of simulation

- We can relate execution times on fancy TM's to those on the standard TM.
- For every multitape TM that runs in time  $t(n)$ , there is an equivalent single tape TM that runs in time  $O(t^2(n))$ .
  - ▶ IALC Thm. 8.10
- For every ND TM that decides in time  $t(n)$ , there is an equivalent single tape TM that decides in time  $2^{O(t(n))}$ .
  - ▶ Straightforward from simulation method

# Summary

- Sometimes there's an algorithm that is asymptotically faster than the "obvious" one.
- Sometimes there isn't (and we may not know).
- The distinction between polynomial and exponential time algorithms matters
- The underlying computation model matters

# The class P: Tractable Problems

- P is the class of languages that are decidable in polynomial time on a deterministic single-tape Turing machine. In other words,
- $P = \bigcup_k \text{TIME}(n^k)$
- where the time complexity class  $\text{TIME}(t(n))$  is the collection of languages that are decidable by a deterministic single-tape TM in  $O(t(n))$  steps

# Tractable Problems

- Most of the computer programs in common use solve a problem in  $P$ .
  - ▶ If it weren't, the program would probably run too slowly to be useful!
  - ▶ Exception: some problems can be solved for interesting special cases even if they aren't tractable in general
- But sometimes finding a polynomial time algorithm is challenging
  - ▶ And for a large class of problems, we don't know for sure whether such an algorithm exists.

# Verifying vs. Solving

- Often, it seems easier to **verify** an alleged solution to a problem than it is to **determine** from scratch whether there is a solution.
  - ▶ Example: Consider the Hamiltonian Path problem; no polynomial time algorithm for this is known.
  - ▶ But if we have a proposed solution (*i.e.*, a path that visits each node once), it is simple to **verify** whether the path is correct in polynomial time. (How ?)
- The proposed solution is described by a **certificate**
  - ▶ *e.g.*, for Hamiltonian Path, the proposed path
- A **verifier** is a TM that takes a problem and a certificate and answers “OK” or “fake”

# The Class NP

- The class NP contains all the decision problems that can be *verified* in Polynomial time.

Equivalently

- The class NP contains all the decision problems that can be *solved* in Polynomial time by a *nondeterministic* algorithm
  - ▶ It may make arbitrary (nondeterministic) choices
  - ▶ The number of steps must be bounded by some polynomial in  $n$ , where  $n$  is the length of the input
  - ▶  $\text{NTIME}(t(n)) = \text{languages decidable in } O(t(n)) \text{ time by a NDTM}$
  - ▶  $\text{NP} = \bigcup_k \text{NTIME}(n^k)$

# Equivalence of Two Definitions of NP

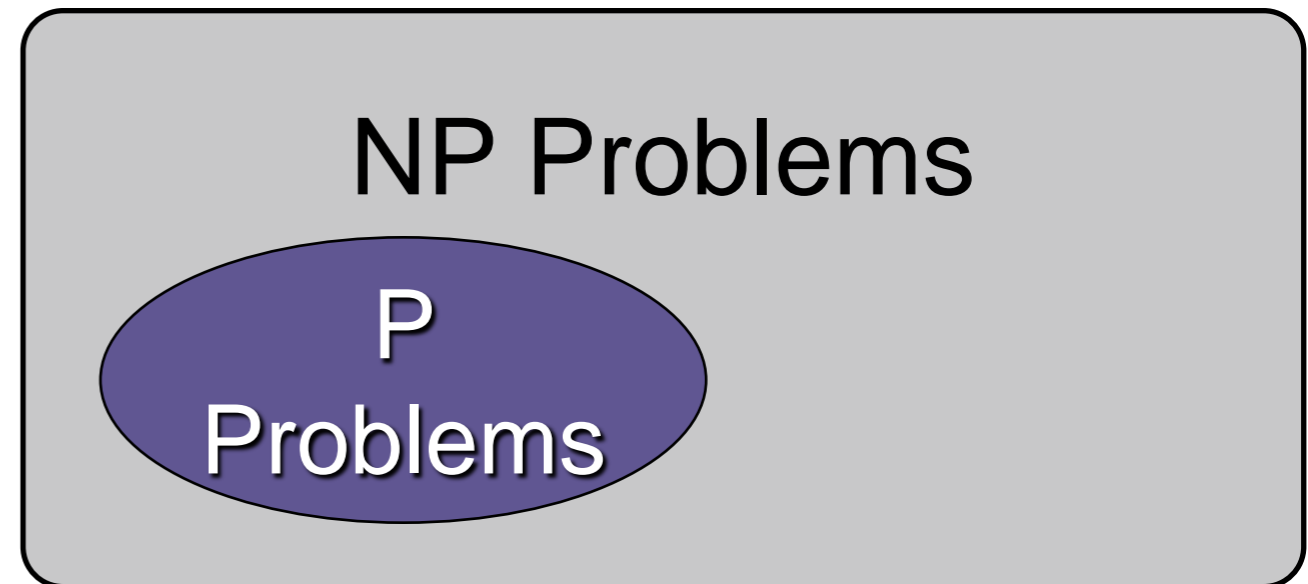
- Suppose that we have a deterministic verifier ...
- then we build a non-deterministic solver that:
  - ▶ non-deterministically generates all putative certificates (effectively in parallel)
  - ▶ runs the deterministic verifier to check them (each in polynomial time)
  - ▶ if there is a solution, we'll find and approve its certificate



- Conversely:

- Suppose we have a non-deterministic solver, e.g., a non-deterministic TM  $M$ 
  - At each of its polynomially-many steps, it may branch at most a constant number of ways.
  - We can use the path of choices made as the certificate; valid certificates lead to accept states.
- So: we can build a deterministic verifier that, given the certificate, simulates  $M$  on that path, and checks that it is an accept path.
  - This takes polynomially-many steps

# Relationship



- Anything in  $P$  is also in  $NP$ 
  - ▶ because any deterministic algorithm is also a non-deterministic algorithm
- Does  $P = NP$  ?
  - ▶ currently not known, but widely suspected  $P \neq NP$

# Beyond NP

- Many interesting and natural problems are in NP
  - ▶ Typically show membership in NP by exhibiting a polynomial-time verifier.
- But some (natural) problems are not...
- $\text{EXPTIME} = \bigcup_k \text{TIME}(2^{n^k})$  is believed to be larger than NP (and known to be larger than P)
- $2\text{-EXPTIME} = \bigcup_k \text{TIME}(2^{2^{n^k}})$  is larger than NP

# What about Space?

- We can measure the space use of a TM as the maximum number of tape cells it scans on an input of length  $n$ .
- Define  $SPACE(f(n))$  as the class of languages decided by a (deterministic) TM using  $O(f(n))$  space.
- Define  $NSPACE$  similarly for non-deterministic TM.

# NP $\subseteq$ PSPACE

- By analogy with time classes, we define  $\text{PSPACE} = \bigcup_k \text{SPACE}(n^k)$  and  $\text{NPSPACE} = \bigcup_k \text{NSPACE}(n^k)$
- Then NP  $\subseteq$  PSPACE.
  - ▶ Clearly NP  $\subseteq$  NPSPACE, because a machine that takes  $t$  steps can access at most  $t$  tape squares.
  - ▶ It turns out that NPSPACE = PSPACE
    - Consequence of Savitch's theorem (IALC 11.5)
  - ▶ It also turns out that NPSPACE  $\subseteq$  EXPTIME
- But it is unknown whether NP  $\subsetneq$  PSPACE or NSPACE  $\subsetneq$  EXPTIME