Environments, Stores, and Interpreters

Overview

- As we study languages we will build small languages that illustrate language features
- We will use two tools
  - Observational semantic judgements
  - Small interpreters
- These tools convey the same information at different levels of detail.

Operational Semantics by Inference

To describe the machine's operation, we give **rules of inference** that state when a judgment can be derived from judgments about sub-expressions.

The form of a rule is

$$\frac{\textit{premises}}{\textit{conclusion}}\ \text{(Name of rule)}$$

We can view evaluation of the program as the process of building an inference tree.

This notation has similarities to axiomatic semantics: the notion of derivation is essentially the same, but the content of judgments is different.

Environments and Stores, Formally

- We write $E(x)$ means the result of looking up x in environment E. (This notation is because an environment is like a **function** taking a name as argument and returning a meaning as result.)
- We write $E + \{x \mapsto v\}$ for the environment obtained from existing environment E by **extending** it with a new binding from x to v. If E already has a binding for x, this new binding replaces it.

The **domain** of an environment, *dom*(E), is the set of names bound in E.

Analogously with environments, we'll write

- $S(l)$ to mean the value at location l of store S
- $S + \{l \mapsto v\}$ to mean the store obtained from store S by extending (or updating) it so that location l maps to value v.
- *dom*(S) for the set of locations bound in store S.

Also, we'll write

- $S - \{l\}$ to mean the store obtained from store S by removing the binding for location l.

Evaluation Rules (1)

$$\frac{l = E(x) \quad v = S(l)}{\langle x, E, S\rangle \Downarrow \langle v, S\rangle} \text{ (Var)}$$

$$\frac{}{\langle i, E, S\rangle \Downarrow \langle i, S\rangle} \text{ (Int)}$$

$$\frac{\langle e_1, E, S\rangle \Downarrow \langle v_1, S'\rangle \quad \langle e_2, E, S'\rangle \Downarrow \langle v_2, S''\rangle}{\langle (\texttt{+}\ \ e_1\ \ e_2), E, S\rangle \Downarrow \langle v_1 + v_2, S''\rangle} \text{ (Add)}$$

$$\frac{\begin{array}{c}\langle e_1, E, S\rangle \Downarrow \langle v_1, S'\rangle \qquad l \notin \mathit{dom}(S') \\ \langle e_2, E + \{x \mapsto l\}, S' + \{l \mapsto v_1\}\rangle \Downarrow \langle v_2, S''\rangle\end{array}}{\langle (\texttt{local}\ \ x\ \ e_1\ \ e_2), E, S\rangle \Downarrow \langle v_2, S'' - \{l\}\rangle} \text{ (Local)}$$

$$\frac{\langle e, E, S\rangle \Downarrow \langle v, S'\rangle \quad l = E(x)}{\langle (\texttt{:=}\ \ x\ \ e), E, S\rangle \Downarrow \langle v, S' + \{l \mapsto v\}\rangle} \text{ (Assgn)}$$

Evaluation Rules (2)

$$\frac{\langle e_1, E, S\rangle \Downarrow \langle v_1, S'\rangle \quad v_1 \neq 0 \quad \langle e_2, E, S'\rangle \Downarrow \langle v_2, S''\rangle}{\langle (\texttt{if}\ \ e_1\ \ e_2\ \ e_3), E, S\rangle \Downarrow \langle v_2, S''\rangle} \text{ (If-nzero)}$$

$$\frac{\langle e_1, E, S\rangle \Downarrow \langle 0, S'\rangle \quad \langle e_3, E, S'\rangle \Downarrow \langle v_3, S''\rangle}{\langle (\texttt{if}\ \ e_1\ \ e_2\ \ e_3), E, S\rangle \Downarrow \langle v_3, S''\rangle} \text{ (If-zero)}$$

$$\frac{\langle e_1, E, S\rangle \Downarrow \langle v_1, S'\rangle \quad v_1 \neq 0 \quad \langle e_2, E, S'\rangle \Downarrow \langle v_2, S''\rangle \quad \langle (\texttt{while}\ \ e_1\ \ e_2), E, S''\rangle \Downarrow \langle v_3, S'''\rangle}{\langle (\texttt{while}\ \ e_1\ \ e_2), E, S\rangle \Downarrow \langle v_3, S'''\rangle} \text{ (While-nzero)}$$

$$\frac{\langle e_1, E, S\rangle \Downarrow \langle 0, S'\rangle}{\langle (\texttt{while}\ \ e_1\ \ e_2), E, S\rangle \Downarrow \langle 0, S'\rangle} \text{ (While-zero)}$$

Notes on the Rules

- The structure of the rules guarantees that at most one rule is applicable at any point.
- The store relationships constrain the order of evaluation.
- If no rules are applicable, the evaluation **gets stuck**; this corresponds to a runtime error in an interpreter.

We can view the interpreter for the language as implementing a bottom-up exploration of the inference tree. A function like

```
Value eval(Exp e, Env env) { .... }
```

returns a value `v` and has side effects on a global `store` such that

$$\langle \texttt{e}, \texttt{env}, \texttt{store}_{\textit{before}} \rangle \Downarrow \langle \texttt{v}, \texttt{store}_{\textit{after}} \rangle$$

The implementation of `eval` dispatches on the syntactic form of `e`, chooses the appropriate rule, and makes recursive calls on `eval` corresponding to the premises of that rule.

Question: how deep can the derivation tree get?

Interpreters

- Programs that detail the same issues as an observational semantics
  - Operations on environments and stores
    - E(x)
    - E+{x $\rightarrow$v}
    - Dom(E)
    - S(l)
    - S+{l $\rightarrow$v}
    - Dom(S)

Values

```
type Addr = Int
data Value
     = IntV Int    -- An Int
     | PairV Addr  -- Or an address
                   -- into the heap
```

Tables in hw3.hs

- Tables are like dictionaries storing objects indexed by a key.

```
-- A table maps keys to objects
data Table key object = Tab [(key,object)]
type Env a = Table String a  -- A Table
  where the key is a String
```

Lookup and Searching Tables

```
-- When searching an Env one returns a Result
data Result a = Found a | NotFound

search :: Eq key => key -> [(key, a)] -> Result a
search x [] = NotFound
search x ((k,v):rest) =
    if x==k then Found v else search x rest

-- Search a Table
lookUp :: Eq key => Table key a -> key -> Result a
lookUp (Tab xs) k = search k xs
```

Updating Tables

- Update is done by making a new changed copy

```
-- update a Table at a given key.
update n u ((m,v):rest)
           | n==m = (m,u):rest
update n u (r:rest) = r : update n u rest
update n u [] = error
   ("Unknown address: "++show n++
    " in update")
```

Environments in hw3.hs

```
-- A Table where the key is a String
type Env a = Table String a

-- Operations on Env
emptyE = Tab []                          -- ∅

extend key value (Tab xs) =  -- E+{x →v}
    Tab ((key,value):xs)

-- E+{x1 →v1, x2 →v2, … , xn →vn}
push pairs (Tab xs) = Tab(pairs ++ xs)
```

Stores and Heaps

- In language E3, the store is implemented by a heap. Heaps are indexed by addresses (int)

```
type Heap = [Value]

-- State contains just a Heap
data State = State Heap

-- Access the State for the Value
-- at a given Address     S(n)
access n (State heap) = heap !! n
```

(list !! n) is the get element at position n. The first element is at position 0

Allocating on the heap

S+{l $\rightarrow$v}

```
-- Allocate a new location in the heap. Intitialize it
-- with a value, and return its Address and a new heap.
alloc :: Value -> State -> (Addr,State)
alloc value (State heap) =
      (addr,State (heap ++ [value]))
   where addr = length heap
```

Note that allocation creates a new copy of the heap with one more location

Multiple allocations

```
(fun f  (x y z) (+ x (* y z)))
(@ f 3 5 8)
```

- We need to create 3 new locations on the heap and note where the formal parameters (x,y,z) are stored
- E $\{x \rightarrow l_1, y \rightarrow l_2, z \rightarrow l_3\}$
- S $\{l_1 \rightarrow 3, l_2 \rightarrow 5, l_3 \rightarrow 8\}$)

Code

```
bind:: [String] -> [Value] ->
       State -> ([(Vname,Addr)],State)
bind names objects state =
     loop (zip names objects) state
  where loop [] state = ([],state)
        loop ((nm,v):more) state =
               ((nm,ad):xs,state3)
     where (ad,state2) = alloc v state
           (xs,state3) = loop more state2
```

Example

```
bind [a,b,c]
       [IntV 3,IntV 7,IntV 1]
       (State [IntV 17])
```

- returns the pair

```
( [(a,1),(b,2),(c,3)]
, State [IntV 17,IntV 3,IntV 7,IntV 1]
)
```

Heap update

- Makes a new copy of the heap with a different object at the given address.

```
-- Update the State at a given Adress
stateStore addr u (State heap) =
          State (update addr heap)
  where update 0 (x:xs) = (u:xs)
        update n (x:xs) = x : update (n-1) xs
        update n [] =
          error ("Address "++show addr++
                 " too large for heap.")
```

Example

```
Allocate 1 (St [IntV 3,IntV 7] )
```

Returns

```
(2, St [IntV 3,IntV 7,IntV 1] )
```

The interpreter

- It implements the observational rules but has more detail.
- It also adds the ability to trace a computation.

```
interpE :: Env (Env Addr,[Vname],Exp)  -- The function name space
        -> Env Addr                    -- the variable name space
        -> State                       -- the state, a heap
        -> Exp                         -- the Exp to interpret
        -> IO(Value,State)             -- (result,new_state)
interpE funs vars state exp =
        (traceG vars) run state exp where
   run state (Var v) =
     case lookUp vars v of
       Found addr ->
          return(access addr state,state)
       NotFound ->
          error ("Unknown variable: "++v++" in lookup.")

--- … many more cases
```

$$\frac{l = E(x) \quad v = S(l)}{\langle x, E, S\rangle \Downarrow \langle v, S\rangle}\ (\text{Var})$$

Constant and assignment case

```
run state (Int n) = return(IntV n,state)
run state (Asgn v e ) =
  do { (val,state2) <- interpE funs vars state e
     ; case lookUp vars v of
         Found addr ->
           return(val,stateStore addr val state2)
         NotFound -> error
            ("\nUnknown variable: "++
             v++" in assignment.") }
```

$$\frac{}{\langle i, E, S\rangle \Downarrow \langle i, S\rangle} \text{(Int)}$$

$$\frac{\langle e, E, S\rangle \Downarrow \langle v, S'\rangle \quad l = E(x)}{\langle (:= \ x \ \ e), E, S\rangle \Downarrow \langle v, S' + \{l \mapsto v\}\rangle} \text{(Assgn)}$$

Notes on pairs

- Pairs are allocated in consecutive locations on the heap

```
run state (Pair x y) =
 do { (v1,s1) <- interpE funs vars state
    ; (v2,22) <- interpE funs vars s1 y
    ; let (a1,s3) = alloc v1 s2
          (a2,s4) = alloc v2 s3
    ; return(PairV a1,s4)}
```

a1 and a2 should be consecutive locations

Runtime checking of errors

- Numeric operations (+, *, <=, etc) only operate on (IntV n) and must raise an error on (PairV a)

```
run state (Add  x y) =
     do { (v1,state1) <- interpE funs vars state x
        ; (v2,state2) <- interpE funs vars state1 y
        ; return(numeric state2 "+" (+) v1 v2,state2) }

numeric :: State -> String -> (Int -> Int -> Int) ->
           Value -> Value -> Value
numeric st name fun (IntV x) (IntV y) = IntV(fun x y)
numeric st name fun (v@(PairV _)) _ =
       error ("First arg of "++name++
              " is not an Int. "++showV (v,st))
numeric st name fun _ (v@(PairV _)) =
       error ("Second arg of "++name++
              " is not an Int. "++ showV (v,st))
```